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Date: July 24, 2001

Name: Lisa M. Davis

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Clifford Chance Rogers & Wells LLP

Docket No. 3499-82

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Andrew J.R. Smith et al.

Filed: May 31, 2001 Group Art Unit: TBA

Serial No: 09/870,811 Examiner: TBA

For: METHOD AND SYSTEM FOR PROCESSING FINANCIAL DATA
OBJECTS CARRIED ON BROADCAST DATA STREAMS AND
DELIVERING INFORMATION TO SUBSCRIBING CLIENTS

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to Examination of the above-identified patent application, please ~~amend~~ the claims as follows: Full versions of the claims, as amended are also attached hereto.

In claim 40, line 1, ~~change~~--40-- to "39"

In claim 42, line 1, ~~change~~ --42-- to "41"

In Claim 44, line 1, ~~change~~ --44-- to "43"

The claims have been amended to correct dependency errors which have come to the attention of the applicants. Entry of this Amendment is respectfully requested.

Date: July 24, 2001

Respectfully submitted,

Mitchell S. Feller

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